

# Custody Solutions

Designed to Adapt and Grow as Your Objectives,  
Strategies, and Assets Evolve

# Custody, without limits.

Connecting you to opportunities where  
your business takes you.

We focus significant investments and  
resources on global custody services—  
so you can concentrate on your most  
important objectives.

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## GLOBAL RESOURCES

- One of the world's largest global custodians with \$35.8\* USD trillion in assets under custody and/or administration.
- Settlement in 100+ markets.
- A single, global platform for all custodial services in an integrated, multi-currency environment.

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## INDUSTRY RECOGNITION

- **European Custodian of the Year 2019 Funds Europe**
- **#1 Custodian in Americas, 2019 Global Investor Global Custody Survey**
- **Best Global Custodian - International Clients, 2019 The Asset Triple A Awards**
- **Best Global Custody Mandate - National Pension Service, 2019 The Asset Triple A Awards**
- **Best Global Custodian for Asset Owners, 2019 Asian-Investor Asset Management Awards**
- **Best Islamic Custodian, 2019 Best Global Custodian**
- **Global Custodian of the Year, 2018 Central Banking Awards**
- **Global Custodian of the Year, 2018 MENA Fund Manager Service Awards**
- **United States Custodian of the Year, 2018 Custody Risk Awards**

\*As of September 30, 2019

## **Comprehensive custody services that add value and flexibility to your business.**

We know how important a successful custody relationship can be as you build and grow your business. That's why we provide a range of business-critical custody services with tools that help you do what you need to do today, and every day. We deliver technology and insights that help you do more—and go further—than you thought you could.

## **Trusted connections to where your business takes you.**

To stay competitive, you need the flexibility to invest in what you want, where and when you want. Our established, on-the-ground presence and settlement in more than 100 markets means you have first-hand insights and trusted connections into almost any market in the world.

Straight-through technology connects you directly to the major markets and to leading third-party financial institutions across regions to ensure the highest level of accuracy, communication, and reliability.

A worldwide network with a pass the book system for seamless support of post-trade activity and real-time information delivery around the globe, that supports your business across all market time zones.

## **Custody is our foundation, and at the core of everything we do.**

Consistent. Responsive. Resilient. As a custody bank first and foremost, the safety of our clients' assets is always our top priority. Our focus on optimizing processing precision, robust risk governance, and technology means that we never lose sight of that fundamental commitment.

With our continuous investment in innovative ideas, and an agile open architecture, we put focus on a resilient technology. We work to anticipate and react to the emerging trends that have the potential to impact your strategies, objectives and opportunities. Backed by a dedicated team that lives and breathes custody, we've built in the flexibility to develop and support customized processes.

# Our Comprehensive Custody Solution

## GLOBAL NETWORK MANAGEMENT

- Comprehensive subcustodian selection criteria
- Annual due diligence visits with subcustodians and Central Securities Depositories (where we are direct)
- Quarterly subcustodian risk management oversight
- Market intelligence gathering from market infrastructure, subcustodians, regulators and industry groups

## ACCOUNT SETUP AND MAINTENANCE

- Dedicated team focused on your global set-up requirements
- Monitoring of expiration of market documentation
- Comprehensive document tracking at all stages
- Access to SWIFT MyStandards®

## SAFEKEEPING AND SETTLEMENT PROCESSING

- Asset safety throughout the custody chain
- Automated trade processing and position reconciliations
- Contractual or actual settlement
- Proactive fail management resolution and reporting of reason codes

## CASH PROCESSING

- Real-time cash availability and forecasting
- Cash projections
- Automated cash sweeps
- Foreign exchange instruction processing

## CORPORATE EVENTS PROCESSING

- Contractual income in 48 markets
- Competitive response deadlines
- Online notification and response
- Mandatory and voluntary support
- Proactive client response management

## PROXY VOTING AND CLASS ACTIONS

- Dedicated expertise for ballot distribution and vote collection
- Notifications of known litigations to clients

## TAX SUPPORT

- Active tax treaty monitoring
- Automated relief at source
- Tax reclaim processing
- Automated documentation tracking and renewal system

Not all services are available in each location, please contact your BNY Mellon contact for more information



## Single, global platform for all custodial services, and integrated multi-currency environment

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### **Integrated technology designed to simplify your workflow.**

Scalable and operational efficiencies through services tailored to specific client segments, geographies, and objectives

- Consolidated data management, measurement, and reporting with custody, cash, holdings, transactions and corporate action APIs that seamlessly integrate data from our custody system directly into your applications, providing you more efficient access to digital services and information.
- Near-time data visualization and insights into your investments through our custody widgets, creating better insight and controls of your daily cash activity, transactions and holdings.

### **Helping you take advantage of value-add services.**

Your BNY Mellon relationship offers more than custody. It connects you to our comprehensive asset servicing ecosystem and becomes a central resource for data and insights you can use to make your business more efficient and profitable.

We offer a wide range of value-add services that are integrated with our custody offering:

- Brokerage solutions
- Foreign exchange
- Global tri-party
- Margin segregation
- Money market funds
- Securities lending

# Contact Us: [assetservicingmarketing@bnymellon.com](mailto:assetservicingmarketing@bnymellon.com)

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